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AND RELATED MATTERS

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EXPRESS MAIL LABEL: EL 980208125 US  
PATENT APPLICATION Docket No. T8954.NP.CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/Madam:

Transmitted herewith for filing is the patent application of Chung Shih, et al for  
BIODEGRADABLE TRIBLOCK COPOLYMERS AS SOLUBILIZING AGENTS FOR  
DRUGS AND METHOD OF USE THEREOF comprising 31 pages of specification and claims.

- ☒ This application claims priority to U.S. application no. 09/971,074 filed October 3, 2001, which in turn claims benefit of Serial No. 60/279,363, filed March 27, 2001.

Enclosed also are:

- ☐ \_\_\_ sheet(s) of drawings.  
☒ Applicant claims small entity status under 37 CFR 1.9(b) & 1.27(c).  
☐ An Assignment, with cover sheet, from the inventors to  
☐ Declaration and Petition.  
☐ Power of Attorney.  
☐ A Preliminary Amendment is enclosed.

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

EXPRESS MAIL LABEL NO. EL 980208125 US

DATE OF DEPOSIT: December 11, 2003

I hereby certify that this paper or fee (along with any paper or fee referred to as being attached or enclosed) is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 with sufficient postage on the date indicated above and is addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*Lucy Leek*  
Lucy Leek, Secretary

- ☐ Nonpublication Request Under 35 USC 122(b)(2)(B)(i).
- ☒ A Certificate of Mailing by "Express Mail" certifying a filing date of December 11, 2003, by use of Express Mail Label No. EL 980208125 US.
- ☐ Information Disclosure Statement under 37 C.F.R. § 1.97, PTO Form-1449 with listed references attached (if indicated as being attached by the Information Disclosure Statement).

The filing fee has been calculated as shown below.

			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
FOR	NO. FILED	EXTRA	RATE	FEE	RATE	FEE
BASIC FEE			\$385.00	\$385.00	\$ 770.00	
TOT. CLAIMS	-20=	0	x \$9.00 =		x \$18.00 =	
IND. CLAIMS	-03=	0	x \$43.00 =		x \$86.00 =	
MULTIPLE DEPENDENT CLAIMS PRESENTED		0	\$145.00		\$290.00	
ASSIGNMENT FILING FEE			\$40.00		\$40.00	
			TOTAL		TOTAL	

- ☐ A check in the amount of \$ \_\_\_\_\_ is enclosed to cover the filing fee.

Please address all future correspondence in connection with the above-identified patent application to the attention of the undersigned.

Dated this 11<sup>th</sup> day of December, 2003.

Respectfully submitted,



M. Wayne Western  
Attorney for Applicant  
Registration No. 22,788

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MWW/WC/II  
Enclosures

H:\FORMS\Patent\3 - Utility\c - PTO Forms\New App Transmittals\PTO cvr.doc

PATENT APPLICATION  
Attorney Docket No.:T8954.NP

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

ART UNIT: 1615  
EXAMINER: Fubara, Blessing M  
APPLICANT: Chung Shih, et al  
SERIAL NO.: 09/971,074  
CONFRM. NO.: 2080  
FILED: 10/03/2001  
FOR: BIODEGRADABLE TRIBLOCK COPOLYMERS AS  
SOLUBILIZING AGENTS FOR DRUGS AND  
METHOD OF USE THEREOF

COPY

RESPONSE

DOCKET NO.: T8954.NP

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action mailed August 11, 2003, the Applicant is filing a continuation-in-part application with a filing date of December 11, 2003, which is within the one-month extension for filing the response to this Office Action.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December 11, 2003.

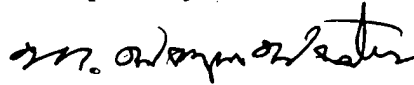
*Lucy Leek, secretary*

Enclosed is the PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)  
together with our check in the amount of \$55.00 to cover the extension fee.

The Commissioner is hereby authorized to charge any additional fee or to credit any  
overpayment in connection with this Amendment to Deposit Account No. 20-0100.

DATED this 11<sup>th</sup> day of December, 2003.

Respectfully submitted,



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